



Business Compliance Control Analyst (12 month FTC)
London

FirstBank UK is a globally recognised, successful bank who provide world-class services to various institutions and individuals. Offering a comprehensive range of retail and corporate financial services/products, this thriving business boasts over 10 million active customers in over 700 business locations. Due to business requirements, we are now looking to acquire the services of an experienced Business Compliance Control Analyst

Hybrid role – 3 days a week in the London office, 2 days from home
Office hours are Monday-Friday, 9am-5pm with a 1-hour lunch.

Key Responsibilities:

- Conducting Quality Checks (QC) on ALL new account opening applications received from the Relationship Managers (RMs) ensuring that full due diligence is completed and escalate any issues to the unit head.
- Providing support to the unit head in conducting QC on periodic Clients' Due Diligence (KYB) Reviews undertaken by RMs / Business Compliance Support on all high-risk relationships to ensure compliance with the Bank's Policy and CDD standards.
- Conducting sample QC of KYB reviews of lower risk clients performed by RMs in conjunction with Business Compliance Support Team.
- Carrying out sample QC of uploaded documents on Viewit by Business Compliance Support Team for accuracy.
- Assisting in the Quality Checks (QC) of Senior Management Approval Forms (SMAFs) and Client Risk Rating Assessment (CRRA) Forms submitted by the Relationship Managers through Business Compliance Support before submission to FCPC.

Key Skills/Experience:

- Must have a minimum of five years in Banking/Financial Services with experience in AML/CFT & Compliance Risk Management. Substantial experience in KYC / KYB reviews process assurance is a prerequisite.
- Awareness and ability to quickly understand internal systems, processes, procedures, and relevant external influences (competitors, laws and regulations, market conditions, etc.)
- Working knowledge of standard business processes, products offered and the dynamics within the business.
- Working knowledge of FCA regulations, in particular conduct rules, AML and financial crime requirements, as well as any other related business compliance rules and regulation.
- Experience with delivery of best practice quality assurance checks to ensure business meets the required compliance standards.



In return we offer a fantastic benefits package including:

- Up to 10% employer pension contribution
- Life Assurance Cover
- Income protection
- Private Medical Insurance plan (upon successful completion of probation period)
- Contribution to glasses/contacts and eye testing
- Gym subsidy (up to £50 per month)
- Cycle to work scheme
- Employee Assistance Program
- Interest Free season ticket loan for travel
- Birthday Leave
- 25 days annual leave, rising to 28 after 3 years and 30 after 8 years' service
- Give As You Earn (GAYE)

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